

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of: Anthony P. Heaney, Gregory A. Horwitz, Xun Zhang and Shlomo Melmed

Serial No.: 09/730,469

Filed on: December 4, 2000

Title: METHODS OF USING PITUITARY TUMOR TRANSFORMING
GENE (PTTG) CARBOXY-TERMINAL PEPTIDES TO INHIBIT
NEOPLASTIC CELLULAR PROLIFERATION AND/OR
TRANSFORMATION OF BREAST AND OVARIAN CELLS
Group 1614



TRANSMITTAL OF AND
RESPONSE TO NOTICE TO FILE MISSING PARTS OF
NONPROVISIONAL APPLICATION
FILING DATE GRANTED

BOX MISSING PARTS

Assistant Commissioner for Patents
Washington, D. C. 20231

I HEREBY CERTIFY THAT THIS CORRESPONDENCE IS BEING DEPOSITED WITH THE UNITED STATES POSTAL SERVICE AS FIRST CLASS MAIL IN AN ENVELOPE ADDRESSED TO BOX MISSING PARTS, THE ASSISTANT COMMISSIONER FOR PATENTS WASHINGTON, D. C. 20231, ON <u>June 8, 2001</u>	
BY <u><i>[Signature]</i></u>	ANN WEISS
<u>June 8, 2001</u>	
Date of Signature	

Dear Sir or Madam:

In response to the *Notice to File Missing Parts of Nonprovisional Application*

Filing Date Granted mailed March 8, 2001, Applicants submit the following documents:

1. Copy of *Notice to File Missing Parts of Application (Part 2)*;
2. Fully executed Declarations of inventors Anthony P. Heaney, Gregory A.

Horwitz, Xun Zhang, and Shlomo Melmed;

The Commissioner is hereby authorized to charge \$711.00 under 37 C.F.R. §§ 1.16(e) and
1.17 which may be required, or to credit any overpayment, to Deposit Account No. 50-1597:

\$355.00 to cover the basic filing fee;
\$261.00 for 29 claims over 20;
\$40.00 for 1 independent claims over 3;
\$65.00 for surcharge fee set forth in 37 C.F.R. 1.16(e);

and

\$55 for Petition for Extension of Time requesting a
one-month extension.



Also enclosed are:

3. Assignment ~~with~~ Transmittal authorizing Commissioner to charge \$40.00 to Deposit Account No. 50-1597 for recordation of assignment under C.F.R. 1.21(h).
4. Power of Attorney;
5. Certificate Under 37 C.F.R. 3.73(b) Establishing Right of Assignee to Prosecute;
6. Verified Statement (Declaration) Claiming Small Entity Status (37 CFR 1.9(f) and 1.27(d))--Nonprofit Organization; and
7. Petition for Extension of Time.

Respectfully submitted,

By: 

Nisan A. Steinberg, Ph.D.
Registration No. 40,345

SIDLEY AUSTIN BROWN & WOOD
555 West Fifth Street
Los Angeles, California 90013-1010
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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/730,469	12/04/2000	Anthony P. Heaney	CEDAR-45257

CONFIRMATION NO. 7071

FORMALITIES LETTER



OC00000005841648

SIDLEY & AUSTIN
555 West Fifth Street
Los Angeles, CA 90013-1010

Date Mailed: 03/08/2001

06/13/2001 SHINASS1 00000079 09730469
04 FC:205 65.00 CH

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

06/13/2001 SHINASS1 00000079 501597 09730469 FILED UNDER 37 CFR 1.53(b)

01 FC:201 355.00 CH
02 FC:203 261.00 CH
03 FC:202 40.00 CH

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

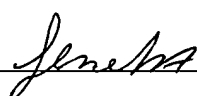
- The statutory basic filing fee is missing.
Applicant must submit \$ 355 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$301.
 - \$261 for 29 total claims over 20.
 - \$40 for 1 independent claims over 3.
- The oath or declaration is missing.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 721.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below. Applicant is given TWO MONTHS from the date of this Notice within which to correct the informalities indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
 - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

A copy of this notice MUST be returned with the reply.



Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

CERTIFICATE OF MAILING BY FIRST CLASS MAIL (37 CFR 1.8)

Applicant(s): Heaney et al.

Docket No.

CEDAR-45257

Serial No.

09/730,469

Filing Date

December 4, 2000

Examiner

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Group Art Unit

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Invention: Methods of Using Pituitary Tumor Transforming Gene (PTTG) Carboxy-Terminal Peptides to Inhibit Neoplastic Cellular Proliferation and/or Transformation of Breast and Ovarian Cells

I hereby certify that this Verified Statement Claiming Small Entity Status

(Identify type of correspondence)

is being deposited with the United States Postal Service as first class mail in an envelope addressed to: The

Assistant Commissioner for Patents, Washington, D.C. 20231 on

June 8, 2001

(Date)

ANN WEISS

(Typed or Printed Name of Person Mailing Correspondence)

(Signature of Person Mailing Correspondence)

Note: Each paper must have its own certificate of mailing.